## Top Red Departmental Risk Register summary

**Generated on:** 21 September 2015



## Summit Group 12 October 2015 - Appendix 2

## Risk Traffic Light: Red 12

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Scor	e Risk Owner	Risk update	Target Risk Score	Target date Risk Trend
Failure to deliver expansion of Sir John Cass Foundation Primary School to 2 form	Cause Expansion not delivered Event Building project not completed Effect Lack of first choice school places for City children	Likelihood	4 Ade Adetosoye	Attempts to achieve the target are ongoing.	Likelihood	18-Aug-15 👚
Department of Community & Children's Services Creation Date 11-Jun-15		Impact			Impact	

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Scor	re F	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
Failure to Secure Lease Renewal of Sundial Court in 2020 Guildhall School of Music and Drama Creation Date 09-Jul-15	to the School. Lease expires in 2020.  Event: Landlord may not want to renew the lease to the School as there may be better development potential elsewhere.  Alternative specialist music student accommodation might not be found.  Impact: Loss of on-campus student accommodation for 177 students. Loss of student services and offices. Loss of student union facility and rehearsal room. Risk of reduced interest in students choosing GSMD if there is no onsite accommodation available.	pod-lipsyll mpact	116 M	Michael Dick	Legal opinion on lease renewal terms obtained. Alignment of repairs and maintenance regime with lease terms. Contact and dialogue with landlord's agent on issues relating to lease renewal. Engagement with City Surveyors on action plan. Student accommodation strategy in development.	elihood	05-Apr-17	

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Ability to Deliver a Balanced and Sustainable Model over the School's Business Cycle Guildhall School of Music and Drama Creation Date 12-Mar-15	Cause: Substantial drop in income. Pressures on expenditure. Service Based Review funding cuts of £1 m in 17/18. Local risk funding to the School is planned to reduce from over £8m in 2013/14 to £5.3m in 2017/18. Failure to gain additional funding from HEFCE.  Event: If no action is taken, the School's annual deficit will rise to £3.2m by 2017/18.  Impact: This is not a sustainable position and the Higher Education Funding Council for England (HEFCE) have been made aware.	Likelihood	Barry Ife	Risk 5.2 on Departmental Risk Register The School and the CoL are in direct discussions with HEFCE. Up to date communication and reporting to the Board, CoL and HEFCE. Ongoing discussion and negotiation to effect funding model. Continual review and management of the School's business model. On current funding levels, the School's longterm financial model is unsustainable. This has been materially exacerbated by the City's Service Based Review (SBR) target, reducing City funding to the School by £1m in 2017/18. Over the last year the School has engaged with both HEFCE and the City to determine a strategy that will re balance the model. Although a number of options have been discussed, these discussions with teh School's primary funders are crucial in determining future strategy. Discussions have been initiated with HEFCE concerning the possibility of increased public funding as part or its review of institution–specific targeted allocations (RISTA) scheduled for 2015/16. in the interim the School is working to ensure that the quality of its teaching and the strength of its brand holds within the current volatile environment. The School has put together a plan of action for investing in its capabilities to ensure that it retains its leading position in a competitive environment.	Impact	31-Jan-16	

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date						
Air Quality Department of Markets and Consumer Protection Creation Date 24-Feb-1	Cause: Poor air quality in the city caused predominantly by traffic pollution. (Air Quality Limit values are legally binding EU parameters that must not be exceeded. Limit values are set for individual pollutants and are made up of a concentration value, an averaging time over which it is to be measured.)  Event: Failure to meet Air Quality limit values in the City by the prescribed dates set by the EU.  Effect: A fine of unknown amount and the associated reputational damage to the City of London.  Poor air quality is also a significant public health issue for the City of London as a small number of the population are more vulnerable to the effects of air pollution where exposure to pollution can exacerbate existing health conditions including cardiovascular and respiratory disease. This can lead to restricted activity, hospital admissions and even premature mortality.	Impact	Jon Averns	The current systems in place allow the City to demonstrate that it is taking sufficient effective action to help the government and the GLA to meet air quality Limit Values	Impact In	01-Jan-18

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Workplace Traffic Management Department of Markets and Consumer Protection Creation Date 24-Feb-15	in this constrained space are not appropriately managed and controlled. <b>Effect:</b> An accident involving a pedestrian and a vehicle which resulted in a serious injury or fatality could result in prosecution, a fine, reputational damage for the City and have an adverse impact on the operation and sustainability of the service.	Impact	Sidney Brewer	A traffic management plan is currently in place. The market constabulary monitor fork lift operator behaviours and withdraw permits when required. They also issue penalty points and an accumulation of points will lead to a suspension or cancellation of the permit to operate on the common parts.	Impact 8	02-Jan-17

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MCP-SM 001  HGV Unloading Operations Department of Markets and Consumer Protection Creation Date  24-Feb-15	employed by Smithfield Market tenants. <b>Event:</b> Serious or fatal injury to members of the public, market staff and other service users caused by uncontrolled or unguided reversing vehicles. <b>Effect:</b> Realisation of this risk could result in a prosecution, fine and reputational damage for the City.	Impact	6 Robert Wilson	The market constabulary are currently monitoring these areas as part of their routine patrols and are halting any unsafe acts they observe.	Impact	31-Dec-15
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Projects and Programmes Director of Open Spaces	Causes: Lack of appropriate skill sets to deliver projects; cultural resistance; initial scoping of project outcomes and timescales inaccurate  Event: Department is unable to deliver its roadmap projects and programmes in agreed timescales or achieve agreed outcomes  Impact: Alternative savings undertaken which may not be consistent with achieving cultural change or improving outcomes.	2	5 Sue Ireland	Initial Project and Programme training completed. Further training on stakeholder management in development.		01-Apr-16

10-Mar-15

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Animal, Plant and Tree Disease	Causes: Inadequate biosecurity, buying of infected trees, plants or cattle, spread of windblown Oak Processionary Moth (OPM ) from adjacent sites  Event: Sites become infected by animal, plant or tree diseases  Impact: Public access to sites restricted, animal culls, tree decline, reputational damage, cost of control of invasive species, risk to human health from OPM or other invasives	Impact	6 Sue Irel	,	OPM has now been confirmed at Hampstead Heath. Officers continue to work with the Forestry Commission to control OPM.	Impact 6	01-Apr-16

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OSD EF 008  Invasive Non Native Species (INNS) Director of Open Spaces Creation Date 19-Aug-1	Causes: Lack of adequate controls on international trade encourages transmission of invasive non-native species; inadequate site biosecurity often through conscious public release of INNS within Forest Event: Sites become occupied by INNS which can lead to the decline, hybridisation or loss of key native species due to out-competition/disease transmission. Some INNS have health protection issues particularly moths producing urticating hairs.and terrapins carrying Salmonella (DT 191a) Impact: loss or decline of key species; temporary site closures; increased costs of monitoring and control. Threat to existing conservation status of sites.	Impact	Paul Thomson	Lackey/Brown Tail/Oak Processionary/Gypsy Moth monitoring programme in place Pilot treatments of Horse Chestnut infected with Leaf Miner Moth.	O	31-Mar-16	

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OSD NLOS 007  Hampstead Heath Bathing Ponds Director of Open Spaces Creation Date 10-Aug-1	Cause: Lack of suitably experienced and qualified lifeguarding staff at Hampstead Heath Bathing Ponds. Members of the public swimming in unauthorised areas. Swimmers fail to pay attention to acclimatisation requirements.  Event: Unable to effect safe rescue of swimmers. Death or serious injury of swimmers in ponds.  Impact: Death or injury to members of the public or staff who enter water. Possible legal challenge. Emotional impact on staff. Reputational risk.	elihood	Bob Warnock	National Water Safety Programme Management training module will be delivered to relevant staff. Qualified lifeguards at pond facilities train on a regular basis. Signage available at three levels, this includes information signs, at entrances, Nag signs and safety points are visible at the ponds. Social media reminds users of safety. Meetings with user forums sharing relevant information.		01-Apr-16 ◆
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Recruitment and retention of property professionals City Surveyor's	Cause: A strong property and construction market Event: Increasingly attractive remuneration packages offered elsewhere Impact: Increased vacancies, objectives unachieved or delivered late, reduced customer satisfaction	Impact	Peter Bennett	This risk identifies the continuing turnover of staff as a result of the strong property market. The department is developing strategies specific to the department that have a particular focus on talent management, reward and retention. There is also a focus on identify projects or work where value can be added by outsourcing. The department now has an action plan in place which includes the introduction of career grading and individual reward packages.	Likelihood	31-Mar-16 <b>1</b>	
Creation Date 17-Mar-15							

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date Risk Trend
Failure of implementation and management of the Oracle Property Management System City Surveyor's Creation Date 03-Mar-15	Cause: Implementation and subsequent management of Oracle Property module to meet business needs Event: Inappropriate technological solution or unsuccessful project management or failure to implement an appropriate management framework Impact: Unable to manage property portfolio / loss of income and poor property maintenance	Exemple 2	Nicholas Gill	Open issues have been progressed. However there are still some unresolved issues on service Charge Solution and OPN reports. The five elements that are being finalised include 1) Defects Resolution, 2) Service Charge, 3) Argus Interface, 4) Archibus Interface and 5) OPN Reports. The programme is due to be completed mid–September 2015.	lmpact	30-Sep-15 ↔