

Top Red Departmental Risk Register summary

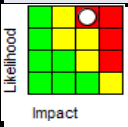
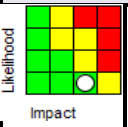

Generated on: 21 September 2015

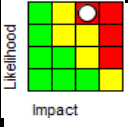
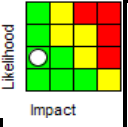



Summit Group 12 October 2015 - Appendix 2

Risk Traffic Light: Red 12

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
DCCS PE 002 Failure to deliver expansion of Sir John Cass Foundation Primary School to 2 form entry in September 2016 Department of Community & Children's Services Creation Date 11-Jun-15	Cause Expansion not delivered Event Building project not completed Effect Lack of first choice school places for City children	24	Ade Adetosoye	Attempts to achieve the target are ongoing.	2	18-Aug-15	→
GSMD EF 001 Failure to Secure Lease Renewal of Sundial Court in 2020 Guildhall School of Music and Drama Creation Date 09-Jul-15	Cause: Sundial Court , (the School's student accommodation), is owned by a private landlord, who currently leases the building to the School. Lease expires in 2020. Event: Landlord may not want to renew the lease to the School as there may be better development potential elsewhere. Alternative specialist music student accommodation might not be found. Impact: Loss of on-campus student accommodation for 177 students. Loss of student services and offices. Loss of student union facility and rehearsal room. Risk of reduced interest in students choosing GSMD if there is no onsite accommodation available.	16	Michael Dick	Legal opinion on lease renewal terms obtained. Alignment of repairs and maintenance regime with lease terms. Contact and dialogue with landlord's agent on issues relating to lease renewal. Engagement with City Surveyors on action plan. Student accommodation strategy in development.	12	05-Apr-17	→

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend	
MCP-SM 001 HGV Unloading Operations Department of Markets and Consumer Protection Creation Date 24-Feb-15	Cause: A lack of suitable and sufficient training and adequate management controls in relation to Heavy Goods Vehicle banksman activities undertaken by staff employed by Smithfield Market tenants. Event: Serious or fatal injury to members of the public, market staff and other service users caused by uncontrolled or unguided reversing vehicles. Effect: Realisation of this risk could result in a prosecution, fine and reputational damage for the City.	 Likelihood Impact	16	Robert Wilson	The market constabulary are currently monitoring these areas as part of their routine patrols and are halting any unsafe acts they observe.	 Likelihood Impact	4	31-Dec-15 

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend	
OSD 003 Delivering the Departmental Road Map Projects and Programmes Director of Open Spaces Creation Date 10-Mar-15	Causes: Lack of appropriate skill sets to deliver projects; cultural resistance; initial scoping of project outcomes and timescales inaccurate Event: Department is unable to deliver its roadmap projects and programmes in agreed timescales or achieve agreed outcomes Impact: Alternative savings undertaken which may not be consistent with achieving cultural change or improving outcomes.	 Likelihood Impact	16	Sue Ireland	Initial Project and Programme training completed. Further training on stakeholder management in development.	 Likelihood Impact	2	01-Apr-16 

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
OSD 005 Animal, Plant and Tree Disease Director of Open Spaces Creation Date 10-Mar-15	Causes: Inadequate biosecurity, buying of infected trees, plants or cattle, spread of windblown Oak Processionary Moth (OPM) from adjacent sites Event: Sites become infected by animal, plant or tree diseases Impact: Public access to sites restricted, animal culls, tree decline, reputational damage, cost of control of invasive species, risk to human health from OPM or other invasives	 Likelihood Impact 16	Sue Ireland	OPM has now been confirmed at Hampstead Heath. Officers continue to work with the Forestry Commission to control OPM.	 Likelihood Impact 6	01-Apr-16	

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
OSD EF 008 Invasive Non Native Species (INNS) Director of Open Spaces Creation Date 19-Aug-15	Causes: Lack of adequate controls on international trade encourages transmission of invasive non-native species; inadequate site biosecurity often through conscious public release of INNS within Forest Event: Sites become occupied by INNS which can lead to the decline, hybridisation or loss of key native species due to out-competition/disease transmission. Some INNS have health protection issues particularly moths producing urticating hairs and terrapins carrying <i>Salmonella</i> (DT 191a) Impact: loss or decline of key species; temporary site closures; increased costs of monitoring and control. Threat to existing conservation status of sites.	 Likelihood Impact 16	Paul Thomson	Lackey/Brown Tail/Oak Processionary/Gypsy Moth monitoring programme in place Pilot treatments of Horse Chestnut infected with Leaf Miner Moth.	 Likelihood Impact 12	31-Mar-16	

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
OSD NLOS 007 Hampstead Heath Bathing Ponds Director of Open Spaces Creation Date 10-Aug-15	Cause: Lack of suitably experienced and qualified lifeguarding staff at Hampstead Heath Bathing Ponds. Members of the public swimming in unauthorised areas. Swimming outside of designated zones. Swimmers fail to pay attention to acclimatisation requirements. Event: Unable to effect safe rescue of swimmers. Death or serious injury of swimmers in ponds. Impact: Death or injury to members of the public or staff who enter water. Possible legal challenge. Emotional impact on staff. Reputational risk.	 Likelihood Impact 16	Bob Warnock	National Water Safety Programme Management training module will be delivered to relevant staff. Qualified lifeguards at pond facilities train on a regular basis. Signage available at three levels, this includes information signs, at entrances, Nag signs and safety points are visible at the ponds. Social media reminds users of safety. Meetings with user forums sharing relevant information.	 Likelihood Impact 4	01-Apr-16	→

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
SUR SMT 005 Recruitment and retention of property professionals City Surveyor's Creation Date 17-Mar-15	Cause: A strong property and construction market Event: Increasingly attractive remuneration packages offered elsewhere Impact: Increased vacancies, objectives unachieved or delivered late, reduced customer satisfaction	 Likelihood Impact 16	Peter Bennett	This risk identifies the continuing turnover of staff as a result of the strong property market. The department is developing strategies specific to the department that have a particular focus on talent management, reward and retention. There is also a focus on identify projects or work where value can be added by outsourcing. The department now has an action plan in place which includes the introduction of career grading and individual reward packages.	 Likelihood Impact 4	31-Mar-16	→

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend
SUR SMT 009 Failure of implementation and management of the Oracle Property Management System City Surveyor's Creation Date 03-Mar-15	Cause: Implementation and subsequent management of Oracle Property module to meet business needs Event: Inappropriate technological solution or unsuccessful project management or failure to implement an appropriate management framework Impact: Unable to manage property portfolio / loss of income and poor property maintenance	 Likelihood Impact 16	Nicholas Gill	Open issues have been progressed. However there are still some unresolved issues on service Charge Solution and OPN reports. The five elements that are being finalised include 1) Defects Resolution, 2) Service Charge, 3) Argus Interface, 4) Archibus Interface and 5) OPN Reports. The programme is due to be completed mid-September 2015.	 Likelihood Impact 8	30-Sep-15	→